



### Programa de doctorado interuniversitario en Tecnologías de la Información

### Curso: Técnicas de Computación Flexible

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### **Genetic Fuzzy Systems:** State of the art and new trends

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### **Genetic Fuzzy Systems: State of the Art and New Trends**

### **Outline**

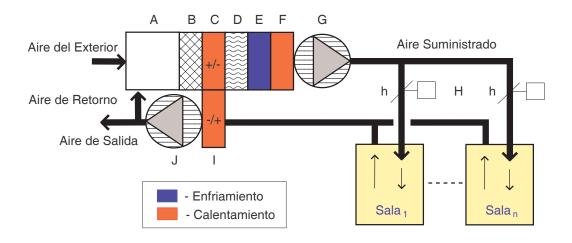
- ✓ Introduction to Genetic Fuzzy Systems
- ✓ Tuning Methods: Basic and Advanced Approaches
- ✓ Genetic Fuzzy Systems Application to HVAC Problems
- **✓** GFSs: Current Trends and Prospects
- **✓** Concluding Remarks

### GENETIC FUZZY SYSTEMS: APPLICATION TO HVAC PROBLEM

### Heating Ventilating and Air Conditioning Systems: Problem



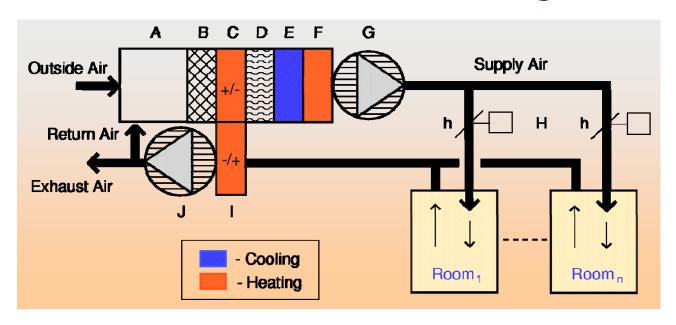
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### Heating Ventilating and Air Conditioning Systems: Problem

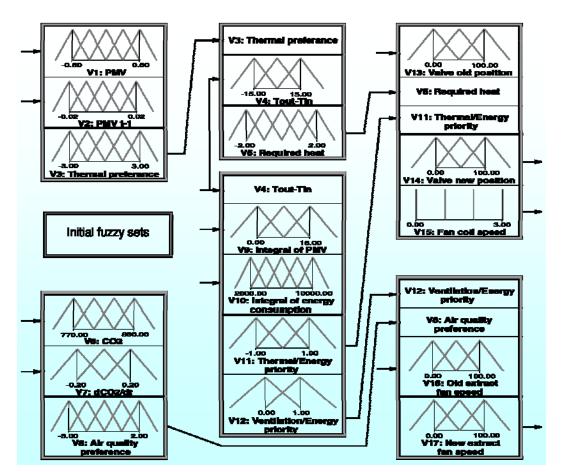
- Energy consumption in buildings is the 40% of the total and more than a half is for indoor climate conditions
- The use of specific technologies can save up to a 20% of the energy consumption
- The use of appropriate automatic control strategies could result in energy savings ranging 15-85 %
- Moreover, in current systems, several criteria are considered and optimized independently without a global strategy

#### Generic Structure of an Office Building HVAC System

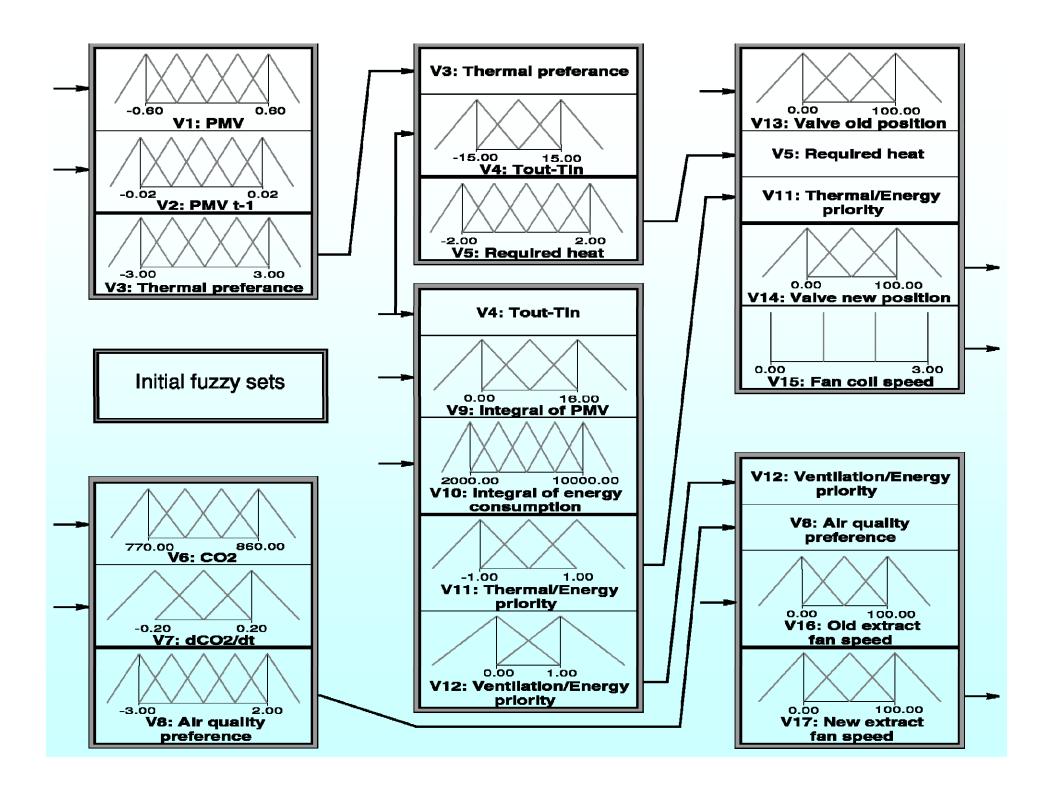


- It maintain a good thermal quality in summer and winter
- It dilutes and removes emissions from people, equipment and activities and supplies clean air

#### **Initial Data Base**

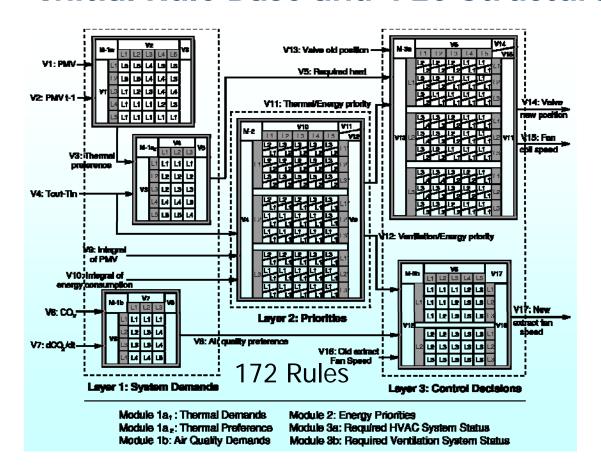


#### 17 Variables

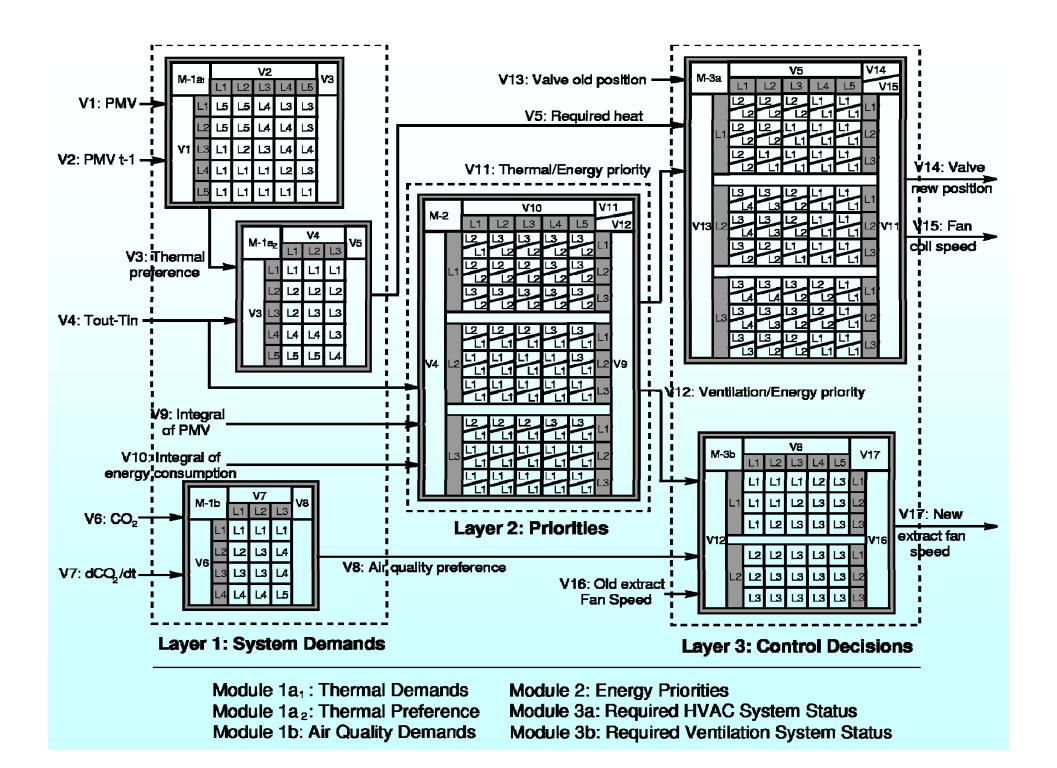




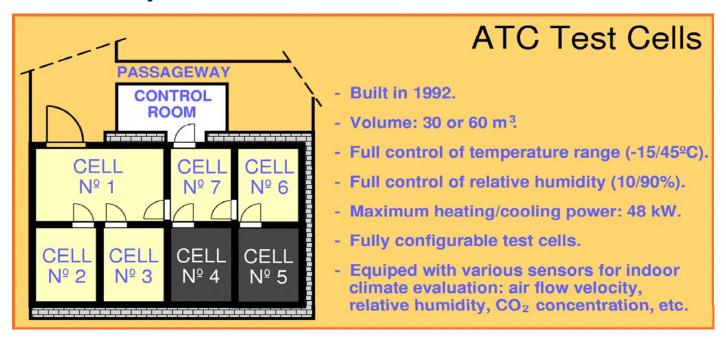
#### Initial Rule Base and FLC Structure



172 Rules



#### Representation of the Test Cells



- ■Two adjacent twin cells were available
- A calibrated and validated model of this site was developed to evaluate each FLC

 Goal: multi-criteria optimization of an expert FLC for an HVAC system: reduction of the energy consumption but maintaining the required indoor comfort levels

 $O_1$  Upper thermal comfort limit <sup>3</sup>: if PMV > 0.5,  $O_1 = O_1 + (PMV - 0.5)$ .

 $O_2$  Lower thermal comfort limit: if PMV < -0.5,  $O_2 = O_2 + (-PMV - 0.5)$ .

 $O_3$  IAQ requirement: if  $CO_2$  conc. > 800ppm,  $O_3 = O_3 + (CO_2 - 800)$ .

 $O_4$  Energy consumption:  $O_4 = O_4 +$ Power at time t.

 $O_5$  System stability:  $C_5 = C_5 +$  System change from time t to (t-1).

#### INITIAL RESULTS

MODELS	#R	PMV>0.5	PMV<-0.5	0.5 CO <sub>2</sub> ENERGY		STABILITY		
		0 <sub>1</sub>	02	03	04	%	<b>0</b> <sub>5</sub>	%
ON-OFF	-	0,0	0	0	3206400	-	1136	-
FLC	172	0,0	0	0	2901686	9,50	1505	-32,48

#### Improving the FLC Performance

The main objective was the reduction of the energy consumption (10%), improving the stability of the controller, maintaining the required indoor comfort levels

Genetic tuning of the Data Base

Local modification of the membership function definition

h

points

#### Objectives (to be minimized)

- $O_1$  Upper thermal comfort limit <sup>3</sup>: if PMV > 0.5,  $O_1 = O_1 + (PMV 0.5)$ .
- $O_2$  Lower thermal comfort limit: if PMV < -0.5,  $O_2 = O_2 + (-PMV 0.5)$ .
- $O_3$  IAQ requirement: if  $CO_2$  conc. > 800ppm,  $O_3 = O_3 + (CO_2 800)$ .
- $O_4$  Energy consumption:  $O_4 = O_4 +$  Power at time t.
- $O_5$  System stability:  $C_5 = C_5 +$  System change from time t to (t-1).
  - Expert knowledge as objective weights:

$$w_1^o = w_2^o = 0.0041511$$
;  $w_3^o = 0.0000022833$   
 $w_4^o = 0.0000017832$ ;  $w_5^o = 0.000761667$ 

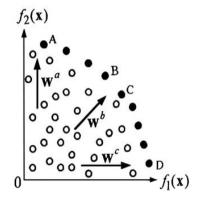
#### **Problem Restrictions**

- Different-Criteria-Based Evaluation
  - Multiple Criteria Algorithms:
    - Multi-objective approach
    - Aggregation approach



$$F(x) = w_1 \cdot f_1(x) + \dots + w_n \cdot f_n(x)$$

$$\sum_{i=1}^{n} w_i = 1, \quad 0 \le w_i \le 1, \quad i = \{1, \dots, n\}$$



Since trusted weights exist:

- The problem solving is easier
- Quicker algorithms can be designed

#### **Problem Restrictions**

- The controller accuracy is assessed by means of simulations which approximately take 3-4 minutes
  - Efficient tuning methodologies:
    - Local adjustment of each tuned parameter
    - Steady-State Genetic Algorithms: quick convergence
       2000 evaluations ⇒ 1 run takes approximately 4 days
    - Considering a small population (31 individuals)

#### **Genetic Tuning of the Data Base**

- A real coded steady-state genetic algorithm for local tuning of the membership function definition points.
  - Two individuals are selected to be crossed and four descendents are obtained
  - The two best offspring are included in the population replacing the two worst individuals if they are better adapted than the latter
  - A restarting approach is considered if the population converges

#### Data Base Tuning: Algorithm (1)

**Coding Scheme** (with n variables and  $L_i$  labels):

$$C_{i} = \left(a_{1}^{i}, b_{1}^{i}, c_{1}^{i}, \dots, a_{L_{i}}^{i}, b_{L_{i}}^{i}, c_{L_{i}}^{i}\right), i = 1, \dots, n$$

$$C = C_{1}C_{2} \dots C_{n}$$

$$\begin{bmatrix} \text{Label j-1} & \text{Label j} & \text{Label j-1} \\ \text{Lc}_{\frac{i}{j-1}} \equiv \frac{\text{Lb}_{\frac{i}{j}} \equiv \text{La}_{\frac{i}{j-1}}}{\text{B}_{\frac{i}{j-1}}} & \frac{\text{Rc}_{\frac{i}{j-1}} \equiv \text{Rb}_{\frac{i}{j}} \equiv \text{Ra}_{\frac{i}{j-1}}}{\text{B}_{\frac{i}{j-1}}} \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ \text{B}_{\frac{i}{1}} & \vdots & \vdots & \vdots & \vdots \\ \end{bmatrix}$$

#### Data Base Tuning: Algorithm (2)

#### Genetic operators:

■ The max-min-arithmetical crossover. From parents  $C^{\nu}$  and  $C^{\omega}$ , four offspring are obtained:

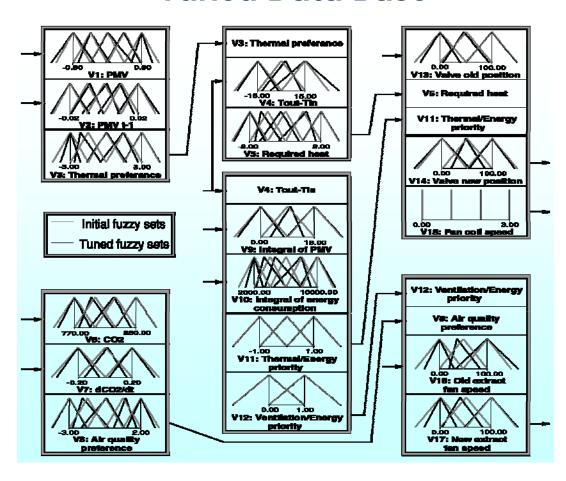
$$C^v = (c_1, \dots, c_k, \dots, c_H)$$
 $C^w = (c'_1, \dots, c'_k, \dots, c'_H)$ 
 $C^{1'} = aC^w + (1-a)C^v$ 
 $C^{2'} = aC^v + (1-a)C^w$ 
 $C^{3'} \text{ with } c_{3k} = \min\{c_k, c'_k\}$ 
 $C^{4'} \text{ with } c_{4k} = \max\{c_k, c'_k\}$ 

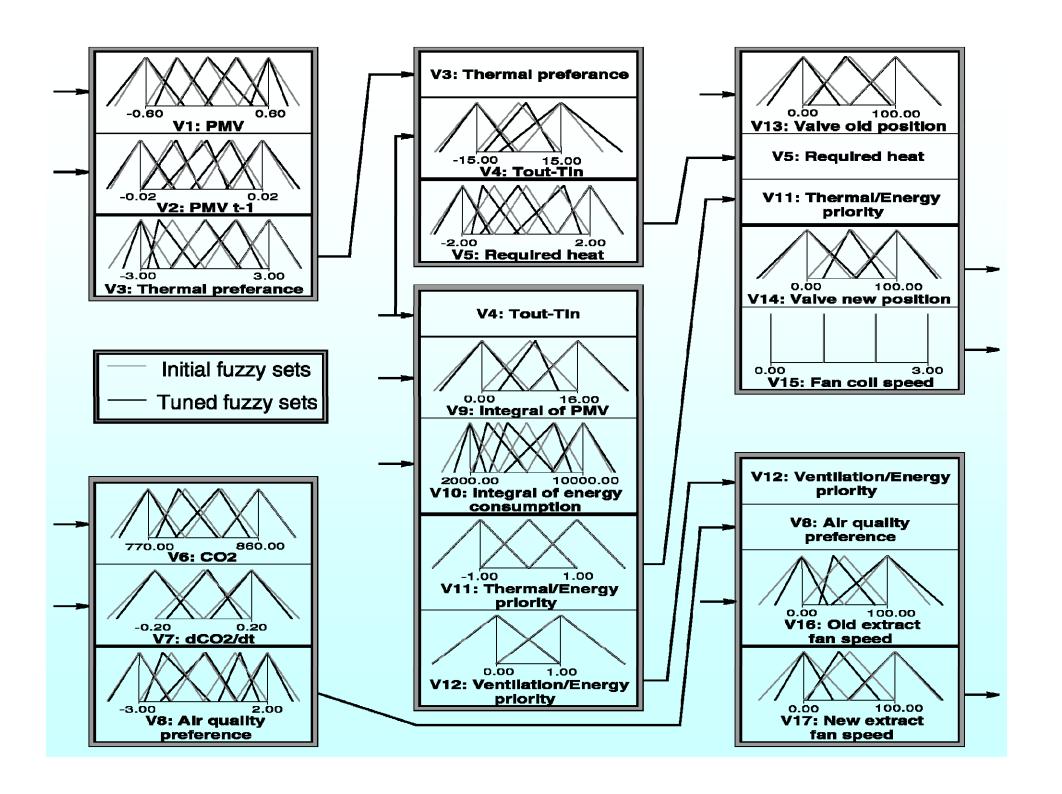
Michalewicz's non-uniform mutation.

MODELS	#R	PMV>0.5	PMV<-0.5	CO <sub>2</sub>	ENERGY		STABILITY	
		0 <sub>1</sub>	02	03	04	%	0 <sub>5</sub>	%
CLASSICAL	-	0,0	0	0	3206400	-	1136	-
ON-OFF								
FLC	172	0,0	0	0	2901686	9,50	1505	-32,48
FLC TUNING	172	0,0	0	0	2596875	19,01	1051	7,48

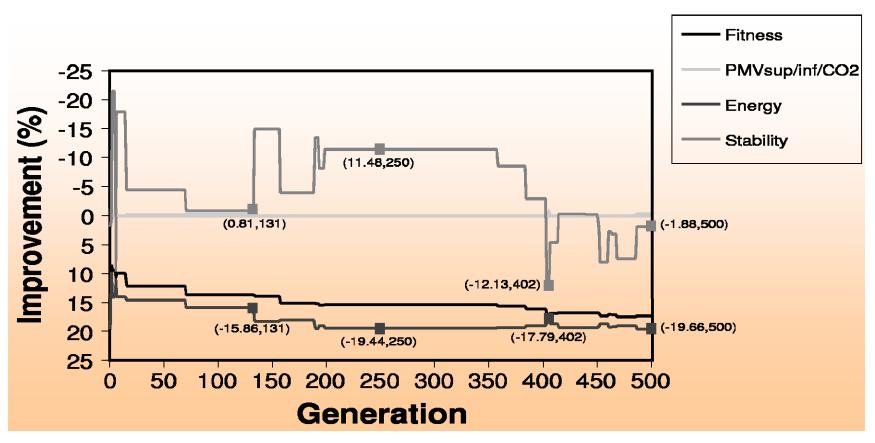
R. Alcalá, J.M. Benítez, J. Casillas, O. Cordón, R. Perez, Fuzzy control of HVAC systems optimised by genetic algorithms, Appl. Intell. 18 (2003) 155–177

#### **Tuned Data Base**





#### **Tuning Evolution Chart**



### GENETIC RULE WEIGHT DERIVATION AND RULE SELECTION

#### **OBJECTIVE OF GETTING:**

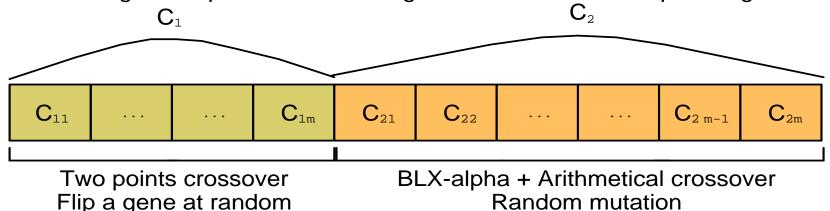
- a subset of rules presenting good cooperation
- the weights associated to rules

IF  $X_1$  is  $A_1$  and ... and  $X_n$  is  $A_n$  THEM Y is B with [w],  $w \in [0,1]$ 

We use a steady-state genetic algorithm with a double coding scheme.

#### Weight Learning: Algorithm

- A double coding scheme  $(C=C_1+C_2)$ :
  - $C_1$ : The coding scheme generates binary-coded strings of length m (number of single rules in the previously derived rule set):
  - $C_2$ : The coding scheme generates real-coded strings of length m. Each gene represents the weight used in the corresponding rule

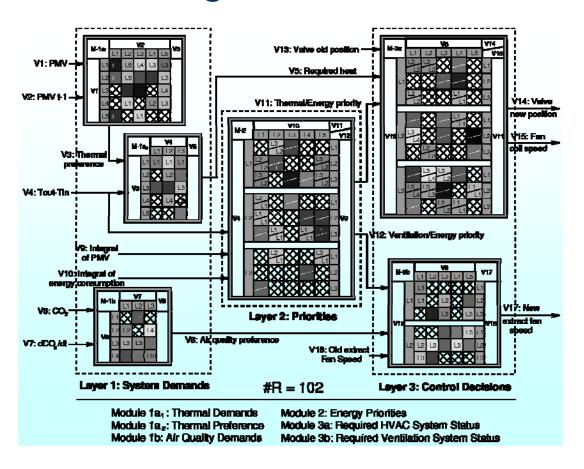


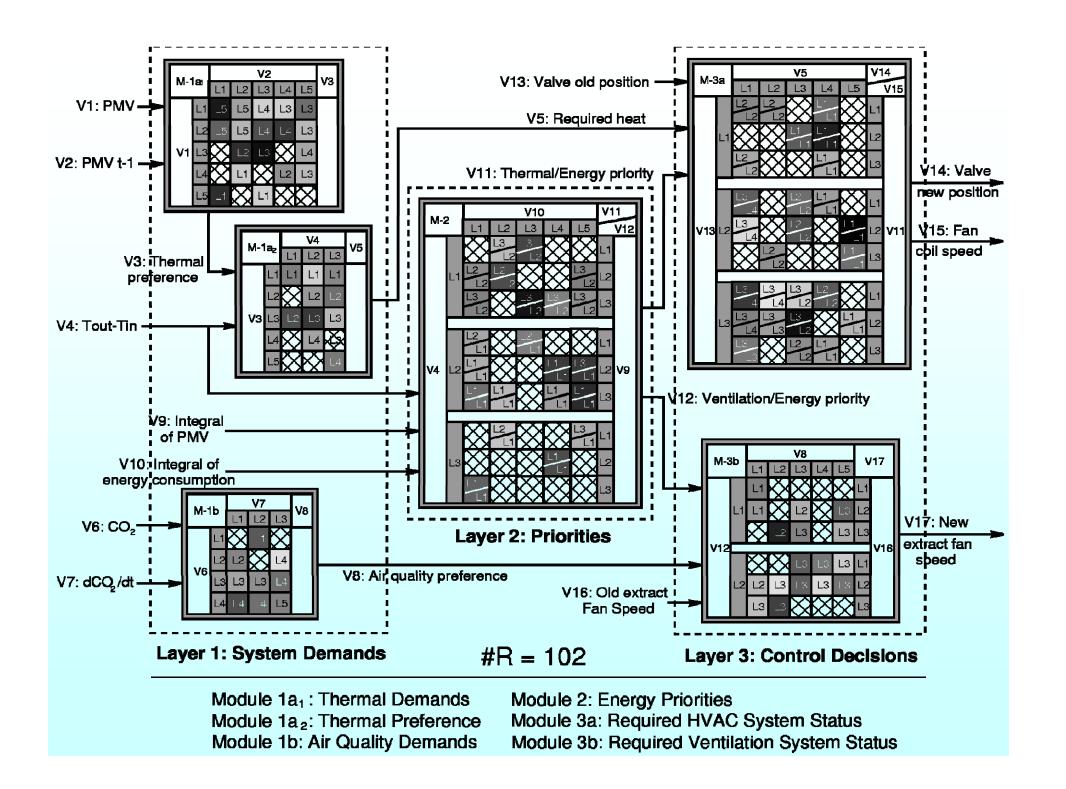
#### **Obtained Results**

MODELS	#R	PMV>0.5	PMV<-0.5	C0 <sub>2</sub>	ENERGY		STABILITY	
		0 <sub>1</sub>	02	03	04	%	<b>0</b> <sub>5</sub>	%
ON-OFF	1	0,0	0	0	3206400	-	1136	-
FLC	172	0,0	0	0	2901686	9,50	1505	-32,48
TUNING	172	0,0	0	0	2596875	19,01	1051	7,48
SELECTION	147	0,2	0	0	2867692	10,56	991	12,76
SEL. + TUNING	109	0,1	0	0	2492462	22,27	989	12,94
SEL + WEIGTS	102	0,7	0	0	2731798	14,80	942	17,08

R. Alcalá, <u>J. Casillas</u>, <u>O. Cordón</u>, A. González, <u>F. Herrera</u>, A Genetic Rule Weighting and Selection Process for Fuzzy Control of Heating, Ventilating and Air Conditioning Systems. *Engineering Applications of Artificial Intelligence 18:3 (2005)* 279-296

#### Weighted Rule Base

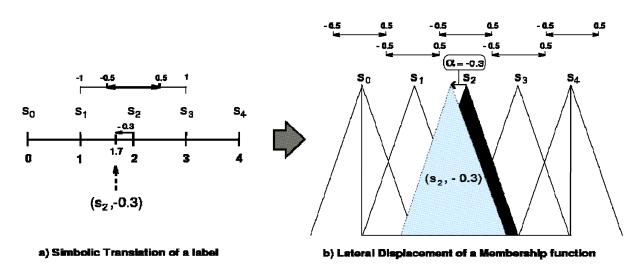




#### New coding schemes: 2- and 3-tuples:

R. Alcalá, J. Alcalá-Fdez, M.J. Gacto, F. Herrera, Improving Fuzzy Logic Controllers Obtained by Experts: A Case Study in HVAC Systems. *Applied Intelligence*, 31:1 (2009) 15-30 doi:10.1007/s10489-007-0107-6

**2-tuples**: label id. i and a displacement parameter  $\alpha_i \in [-0.5, 0.5]$ 



New rule structure:

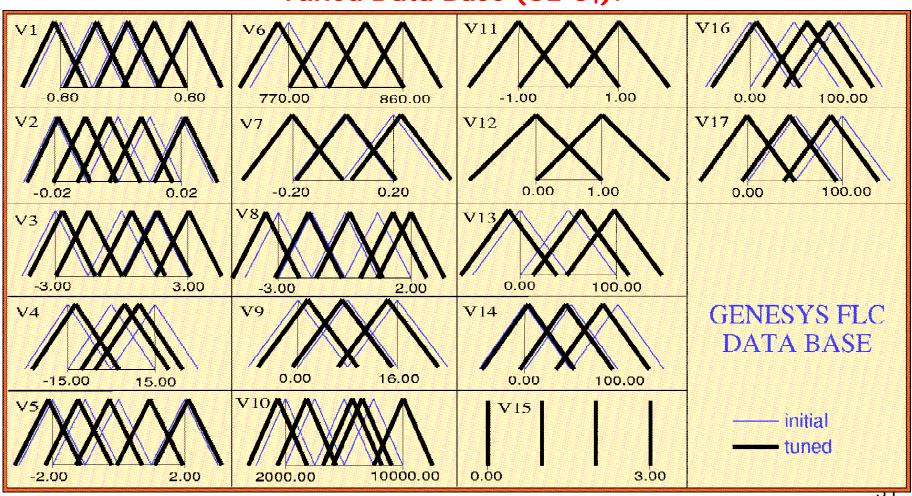
IF  $X_1$  IS ( $S_i^1$ ,  $\alpha_1$ ) AND ... AND  $X_n$  IS ( $S_i^n$ ,  $\alpha_n$ ) THEN Y IS ( $S_i^y$ ,  $\alpha_y$ )

#### **GENETIC LATERAL TUNING**

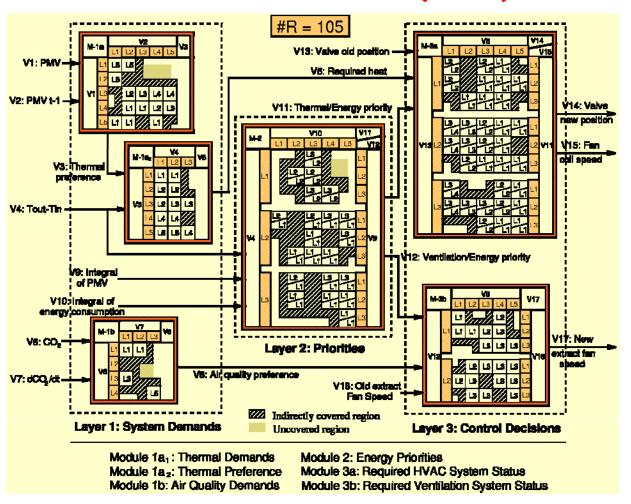
MODELS	#R	PMV>0.5	PMV<-0.5	C0 <sub>2</sub>	CO <sub>2</sub> ENERGY		ESTABILITY	
		0 <sub>1</sub>	02	03	04	%	<b>0</b> <sub>5</sub>	%
ON-OFF	-	0,0	0	0	3206400	-	1136	-
FLC	172	0,0	0	0	2901686	9,50	1505	-32,48
TUNING	172	0,0	0	0	2596875	19,01	1051	7,48
SELECTION	147	0,2	0	0	2867692	2 10,56	991	12,76
SELEC. + TUNING	109	0,1	0	0	2492462	22,27	989	12,94
SEL + WEIGHTS	102	0,7	0	0	2731798	14,80	942	17,08
GL 1	172	0,7	0	0	2378784	25,81	1069	5,90
GL 2	172	1,0	0	0	2327806	27,40	1066	6,16
GL 3	172	0,9	0	0	2268689	29,25	1080	4,93
LL 1	172	0,9	0	0	2386033	25,59	896	21,13
LL 2	172	0,8	0	0	2343409	26,92	943	16,99
LL 3	172	0,3	0	0	2377596	25,85	938	17,43

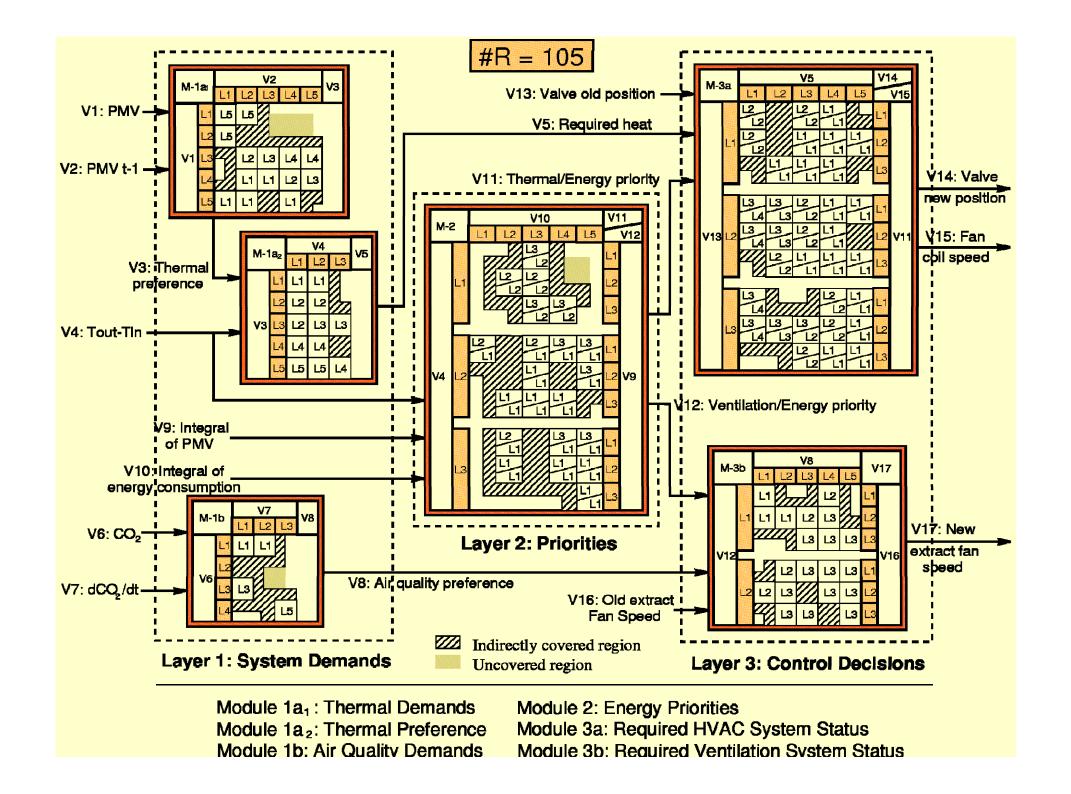
MODELS	#R	PMV>0.5	PMV<-0.5 C0 <sub>2</sub>		ENER	ENERGY		ESTABILITY	
		0 <sub>1</sub>	02	03	04	%	0 <sub>5</sub>	%	
ON-OFF	-	0,0	0	0	3206400	-	1136	-	
FLC	172	0,0	0	0	2901686	9,50	1505	-32,48	
TUNING	172	0,0	0	0	2596875	19,01	1051	7,48	
SELECTION	147	0,2	0	0	2867692	10,56	991	12,76	
SEL + TUNING	109	0,1	0	0	2492462	22,27	989	12,94	
SEL + WEIGHTS	102	0,7	0	0	2731798	14,80	942	17,08	
GL 2	172	0,9	0	0	2268689	29,25	1080	4,93	
LL 1	172	0,9	0	0	2386033	25,59	896	21,13	
GL - S 1	105	1,0	0	0	2218598	30,81	710	37,50	
GL - S 2	115	0,4	0	0	2358405	26,45	818	27,99	
GL - S 3	118	0,8	0	0	2286976	28,68	872	23,24	
LL - S 1	133	0,5	0	0	2311986	27,90	788	30,63	
LL - \$ 2	104	0,6	0	0	2388470	25,51	595	47,62	
LL - S 3	93	0,5	0	0	2277807	28,96	1028	9,91	

#### Tuned Data Base (GL-S<sub>1</sub>):



#### Selected Rule Base (GL-S<sub>1</sub>):





⇒The combination of lateral tuning (global and local) and rules selection allow us to eliminate redundant rules, tuning the parameters, and geting and high behaviour reducting the energy comsuption and with good stability.

⇒ ¿What is the reason of the good behavior?

The SBRDs tuning for an HVAC system is a large scale problem with 17 variables and a lot of parameters, and the use of 1 parameter per label allows us to reduce the search space, allowing to get a better optimal local than using 3 parameters per label.

### GFS Models for Fuzzy Control of HVAC Systems

#### **Bibliography**

R. Alcalá, J.M. Benítez, <u>J. Casillas</u>, <u>O. Cordón</u>, R. Pérez, Fuzzy Control of HVAC Systems Optimized by Genetic Algorithms. *Applied Intelligence* 18:2 (2003) 155-177.

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### **Genetic Fuzzy Systems: State of the Art and New Trends**

### **Outline**

- ✓ Introduction to Genetic Fuzzy Systems
- ✓ Tuning Methods: Basic and Advanced Approaches
- ✓ Genetic Fuzzy Systems Application to HVAC Problems
- **✓** GFSs: Current Trends and Prospects
- **✓** Concluding Remarks

- Multiobjective genetic learning of FRBSs: interpretability-precision trade-off.
- GA-based techniques for mining fuzzy association rules and novel data mining approaches.
- Genetic learning of fuzzy partitions and context adaptation.
- Learning genetic models based on low quality data (noise data and vague data).
- Genetic adaptation of inference engine components.
- Revisiting the Michigan-style GFSs.

Multiobjective genetic learning of FRBSs: interpretability-precision trade-off.

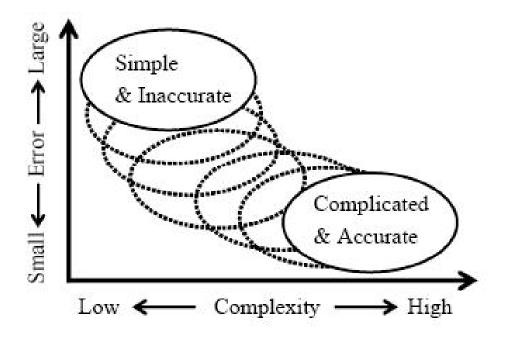


Fig. Non-dominated fuzzy systems

- GA-based techniques for mining fuzzy association rules and novel data mining approaches.
- Genetic learning of fuzzy partitions and context adaptation.

J. Alcalá-Fdez, R. Alcalá, M.J. Gacto, F. Herrera, Learning the Membership Function Contexts for Mining Fuzzy Association Rules by Using Genetic Algorithms. Fuzzy Sets and Systems 160:7 (2009) 905-921. doi:10.1016/j.fss.2008.05.012

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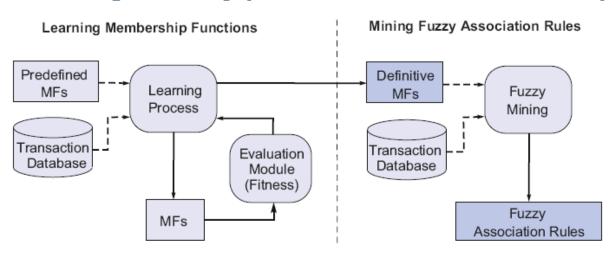


Fig. 1. Scheme for discovering both useful fuzzy association rules and suitable MFs.

J. Alcalá-Fdez, R. Alcalá, M.J. Gacto, F. Herrera, Learning the Membership Function Contexts for Mining Fuzzy Association Rules by Using Genetic Algorithms. Fuzzy Sets and Systems 160:7 (2009) 905-921. doi:10.1016/j.fss.2008.05.012.

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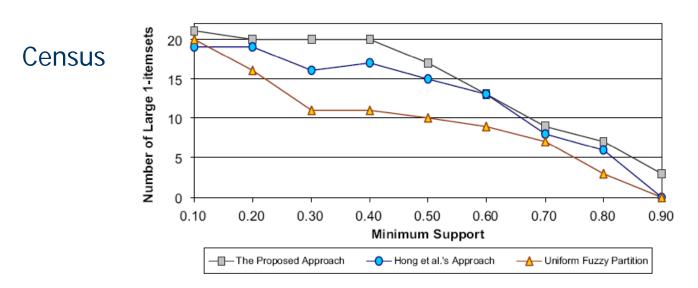


Fig. 9. Relationship between large 1-itemsets and minimum support.

J. Alcalá-Fdez, R. Alcalá, M.J. Gacto, F. Herrera, **Learning the Membership Function Contexts for Mining Fuzzy Association Rules by Using Genetic Algorithms**. *Fuzzy Sets and Systems 160:7 (2009)* 905-921. <u>doi:10.1016/j.fss.2008.05.012</u>.

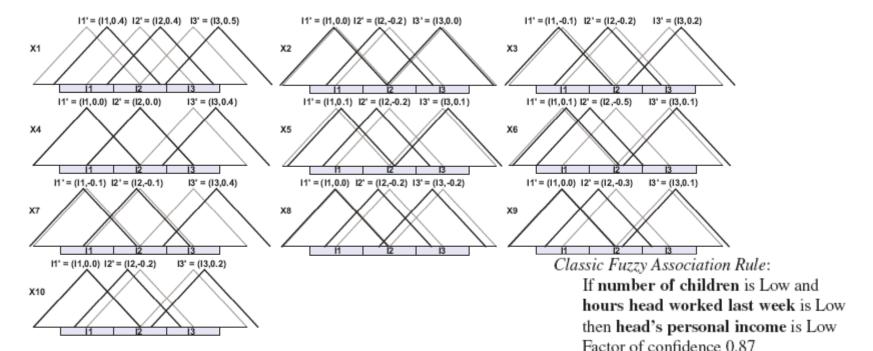


Fig. 10. MFs with/without lateral displacements (black/grey) and displacements of the MFs obtained by tl terms.

Rule with 2-Tuples Fuzzy Linguistic Representation: If number of children is (Low, -0.16) and hours head worked last week is (Low, -0.06) then head's personal income is (Low, 0.1)

Factor of confidence 0.99

J. Alcalá-Fdez, R. Alcalá, M.J. Gacto, F. Herrera, Learning the Membership Function Contexts for Mining Fuzzy Association Rules by Using Genetic Algorithms. Fuzzy Sets and Systems 160:7 (2009) 905-921. doi:10.1016/j.fss.2008.05.012.

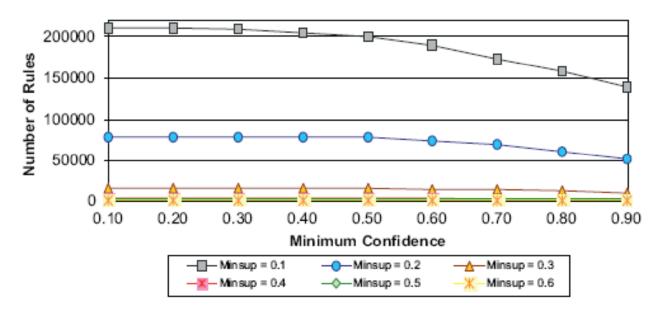


Fig. 15. Relationship between the number of fuzzy association rules and the confidence threshold along with different minimum supports.

J. Alcalá-Fdez, R. Alcalá, M.J. Gacto, F. Herrera, Learning the Membership Function Contexts for Mining Fuzzy Association Rules by Using Genetic Algorithms. Fuzzy Sets and Systems 160:7 (2009) 905-921. doi:10.1016/j.fss.2008.05.012.

- Learning genetic models based on low quality data (noise data and vague data).
- Genetic adaptation of inference engine components.
- **□** Revisiting the Michigan-style GFSs.

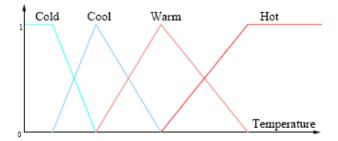
### Formulation of the interpretability

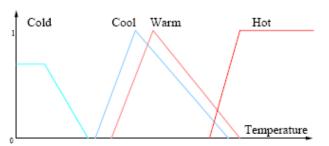
Interpretability quality: associated to the meaning of the labels and the size of the rule base

#### Interpretability considerations: semantic criteria

Semantics: the study of meanings

- Distinguishability: Each linguistic label has semantic meaning
- Number of elements: Compatible with human capabilities
- Coverage: Any element belongs to at least one fuzzy set
- Normalization: At least one element has unitary membership
- Complementarity: For each element, the sum of memberships is one



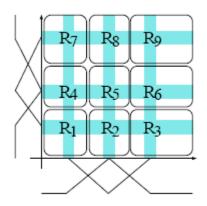


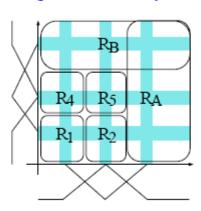
■ Interpretability quality: associated to the meaning of the labels and the size of the rule base

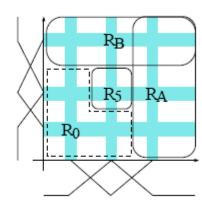
#### Interpretability considerations: syntactic criteria

Syntax: the way in which linguistic elements are put together

- Completeness: for any input, at least one rule must fire
- Rule-base simplicity: Set of rules as small as possible
- Rule readability: small number of conditions in rule antecedents
- Consistency: rules firing simultaneously must have similar consequents



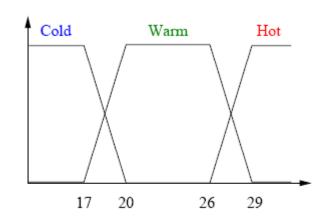


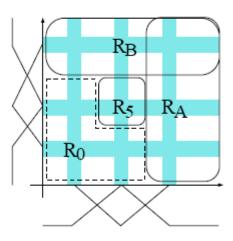


Interpretability quality: associated to the meaning of the labels and the size of the rule base

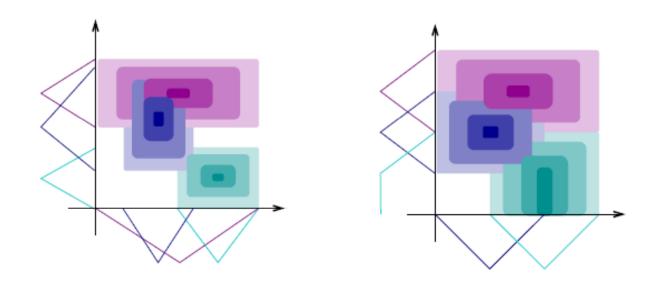
#### Strategies to satisfy interpretability criteria

- Linguistic labels shared by all rules
- Normal, orthogonal membership functions
- Don't care conditions





### Interpretability quality:



What is the most interpretable rule base?

- New data mining tasks: frequent and interesting pattern mining, mining data streams, etc
- Dealing with high dimensional data sets: Scalability
  - High number of features
  - High number of instances



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### **Genetic Fuzzy Systems: State of the Art and New Trends**

# **Concluding Remarks**

The hybridization between fuzzy systems and GAs in GFSs became an important research area during the last decade. GAs allow us to represent different kinds of structures, such as weights, features together with rule parameters, etc., allowing us to code multiple models of knowledge representation. This provides a wide variety of approaches where it is necessary to design specific genetic components for evolving a specific representation.

Nowadays, it is a mature research area, where researchers need to reflect in order to advance towards strengths and distinctive features of the GFSs, providing useful advances in the fuzzy systems theory.